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UBS Financial Services Inc. (also sued as UBS/Paine
7 Webber) and UBS PartnerPlus Plan (erroneously sued as
UBS/Paine Webber Partner's Plus)

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UNITED STATES DISTRICT COURT

10 NORTHERN DISTRICT OF CALIFORNIA

11 SAN FRANCISCO DIVISION

12

13 Alva Gene Thaning,

14 Plaintiff,

15 v.

16 UBS/Paine Webber, UBS/Paine Webber Partner's Plus, UBS Financial Services, Inc.,

Defendants.

No. 07-5528 MJJ

APPENDIX OF SELECT AUTHORITIES CITED IN DEFENDANTS' REPLY IN SUPPORT OF MOTION TO COMPEL ARBITRATION AND STAY PROCEEDINGS

Date: February 26, 2008
Time: 9:30 a.m.
Place: Courtroom 11, 19th Flr.
Judge: Hon. Martin J. Jenkins

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1 Defendants UBS Financial Services Inc., (also sued as UBS/Paine Webber) and
2 UBS PartnerPlus Plan (erroneously sued as UBS/Paine Webber Partner's Plus) submit the
3 following select authorities cited in Defendants' Reply in Support of Motion to Compel
4 Arbitration and Stay Proceedings:

5 1. NASD Code of Arbitration Procedure for Industry Disputes § 13904.

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7 DATED: February 12, 2008 Bingham McCutchen LLP

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10 By: _____ /s/ Walter M. Stella
11 Walter M. Stella
12 Attorneys for Defendants
13 UBS Financial Services Inc (also sued as
14 UBS/Paine Webber) and UBS/Paine Webber
15 Partner's Plus (erroneously sued as UBS/Paine
16 Webber Partner's Plus)

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